

# Agenda

**July 31, 2018**  
**10:30 am – 12:30 pm**

Caltrans  
1120 N Street  
Sacramento, CA 95814  
Room 4409

Contact: Lima Huy  
916-651-7411

**Teleconference Number: (605) 468-8020**  
**Participant Access Code: 932832#**

**Meeting called by:** Muhaned Aljabiry  
**Facilitator:** Muhaned Aljabiry  
**Recorder:** SCAG

## Agenda Topics

Item	Description	Time	Presenter
1	Agenda	10:30	Muhaned Aljabiry
2	Ground Rules	10:35	Muhaned Aljabiry
3	Approval of the 6/19/18 Meeting Minutes	10:40	Muhaned Aljabiry
4	Announcements and Updates: <ul style="list-style-type: none"> <li>1. Staffing Update – CT/FHWA/FTA/MPOs</li> <li>2. Ozone Court Case Update – Marilee Mortenson</li> </ul>	10:45	Caltrans, FHWA, FTA and MPOs
5	Follow-Up Items from the last Meeting <ul style="list-style-type: none"> <li>1. Caltrans Programming will check with Local Assistance on the status of guidelines for the Highway Infrastructure Program.</li> <li>2. Caltrans Programming will look into whether there is a need for a teleconference regarding a course of action related to the ozone standard court decision.</li> </ul>	10:55	Muhaned Aljabiry
6	Transit Asset Management (TAM) Targets	11:00	Cecelia Crenshaw, FTA and Brian Travis, Caltrans
7	State Minor Program	11:20	Lilibeth Green

8	<b>2019 FSTIP Update:</b> <ul style="list-style-type: none"> <li>Reminders, Upcoming Tasks, Schedule, and Deliverables.</li> </ul> (FTIP paper copies, HIP Revenues, Amendment 1, Financial Summary Tables, CT Checklist, CTIPS import by 10/1/18)	11:30	Muhaned Aljabiry
9	Public Participation for the 2019 FSTIP – Deliverables and Timelines	12:00	Lima Huy
10	1. Follow-Up Items 2. Open Forum 3. Future Agenda Items	12:10	All
11	<b>Future meeting dates and locations:</b> <ul style="list-style-type: none"> <li>September 11, MTC, Sacramento (10:30 am – 12:30 pm)</li> </ul>	12:25	All

California Federal Programming Group (CFPG)  
Minutes for July 31, 2018 Meeting

1. Agenda – Lima Huy, Caltrans facilitated the meeting.
2. Ground Rules
3. Approval of the 6/19/18 Meeting Minutes – The minutes were approved with no objections or changes.
4. Announcements and Updates:
  1. Staffing Update – CT/FHWA/FTA/MPOs

Muhaned Aljabiry, Caltrans reported that Albert Soares joined Caltrans Programming and will be reviewing FTIPs and working with Lima Huy. He will be assigned some MPOs and will be taking over the apportionment distribution from Lilibeth Green.

FHWA Staffing Updates: Stew Sonnenberg's position has not yet been filled. Wade Hobbs is retiring and today is his last day.

Ted Matley, FTA reported that Darryl Allen has joined FTA and will be working with San Diego and District 11.

Shasta Regional Transportation Agency has hired a new assistant planner. Fresno COG reported that they have hired a new staff person to work on air quality calculations.
  2. Ozone Court Case Update – Marilee Mortenson, Caltrans Planning reported on the ongoing court case with EPA. The court made a decision in February and has granted the plaintiff an extension until August 1 to respond after EPA filed for a rehearing on April 23. EPA will then have 2 weeks to respond.
  3. Lima Huy asked MPOs to make sure the appropriate staff has access to the CMAQ database for annual reports. CMAQ Obligation Reports will be distributed in October.
5. Follow-Up Items
  1. Caltrans Programming will check with Local Assistance on the status of guidelines for the Highway Infrastructure Program – Completed on July 31
  2. Caltrans Programming will look into whether there is a need for a teleconference regarding a course of action related to the ozone standard court decision – Muhaned reported that there will be a need to coordinate with the MPOs to discuss the course of action if 2019 FTIP cannot be approved due to ozone court case and SB1. HQ will send out a meeting notice in the next two weeks.
6. Transit Asset Management (TAM) Targets – Cecilia Crenshaw, FTA presented this item. The deadline for TAM targets is October 1, 2018. Since the final rule was published in 2016, MPOs and operators have been preparing their plans. Some have already been approved. Each agency is responsible for tracking their own information, inventory, and assets, and submits their information to NTD. State of Good Repair is part of the TAM rule. Some MPOs and operators can do a group plan. Tier 1 and Tier 2 groups are formed based on population. Plans are not sent to

FTA. They must be approved by their boards and are public documents. It is encouraged for MPOs and transit operators to share their TAM plans with their partners. MPOs are required to set targets 18 months after. Refer to the FTA and NTD websites for resources. They are developing training courses, including tribal governments.

FTA will set up a separate phone conference on this item. Information (Powerpoint) will be sent to Lima to be shared with the group. Questions can be sent to Cecelia and she will share answers with the group.

7. State Minor Program – Abhijit Bagde, Caltrans sent out project listings for FY18/19 on July 6<sup>th</sup>. They should be programmed in both 2017 and 2019 FTIPs. Abhijit provided the appropriate grouped project listing in his email. Make sure to look at total project cost and use SHOPPAC – Minor Program as the fund type.
8. 2019 FSTIP Update - Sept 3 deadline for draft 2019 FTIPs to Caltrans. Next milestone is Oct 1: Items due to Caltrans - amendments to 2019 FTIP, financial summary tables in excel, final 2019 FTIP, letter on conformity determination, and projects uploaded in CTIPS. October 5: MPOs must post final FTIP on their website. October 10 - 31: draft 2019 FSTIP public review. Caltrans will address comments received, then will submit to FHWA and FTA by November 5th. Federal approval by December 17th. Paper copies of FTIPs needed. Updated financial summaries should show Highway Infrastructure Program (HIP) revenues. 2018 SHOPP and STIP should be included in amendment to 2019 FTIP, if not part of the base FTIP. Please use Caltrans checklist. The effect of SB1 repeal if it happens will need to be addressed. 2017 FTIP would continue to be the active programming document. Question regarding amendment to 2019 FTIP: if it's an admin mod, should still submit financial tables.
9. Public Participation for the 2019 FTIP – Deliverables and Timelines

The 21 day public review of the 2019 FSTIP will be October 10-31. MPOs must provide a link to their FTIPs as well as their board adoption date. The FTIP amendment must also be on the same link. Lima Huy will send an email in early September that will have posting verbiage and a link to the Caltrans website for public review of the FSTIP.

#### 10. Follow-Up Items

- Set up conference call between Caltrans and MPOs to discuss items affecting 2019 FTIP/FSTIP
- Cecelia Crenshaw will send powerpoint to Lima Huy for distribution
- Cecelia Crenshaw will host a conference call for agencies that have further questions regarding the Transit Asset Management (TAM) requirements. Questions can be submitted to Cecelia Crenshaw prior to the call. Send questions by Friday, August 3<sup>rd</sup>. Cecelia/FTA will respond by August 10<sup>th</sup>.

#### Open Forum

- Jose Luis Caceres– SACOG is doing an RSTP loan with Fresno.
- Muhaned Aljabiry – HQ is open to meet with new MPO staff
- Fresno COG had a question regarding administrative modification contacts
- CT clarified that only one financial plan should be sent in the 2019 submittal even if MPO is submitting an amendment.

Future Agenda Items – send to Abhijit Bagde ASAP

**Future meeting dates and locations:**

- September 11, 2018 MTC, San Francisco (10:30 am – 12:30 pm) – Cancelled
- October 23, 2018 MTC, San Francisco (10:30 am – 12:30 pm)
- December 4, Caltrans, Sacramento (10:30 am – 12:30 pm)



# Highway Infrastructure Program Funds Fact Sheet

## BACKGROUND

- FHWA Notice N4510.826 issued on April 25, 2018
  - <https://www.fhwa.dot.gov/legregs/directives/notices/n4510826/>
- \$1.98 billion appropriated for distribution to the States by formula
- Distributed to the States in the same ratio as the FY 2018 formula obligation limitation
- Suballocated within States:
  - By population (53% - to Local Agencies)
    - Urbanized areas > 200,000 population
    - Areas > 5,000 to 200,000 population
    - Areas 5,000 population or less
  - Any Area (47% - to State)
  - Funding Distribution From CT Transportation Programming
    - [http://www.dot.ca.gov/hq/transprog/federal/fedfiles/res\\_publications/hip-2018.pdf](http://www.dot.ca.gov/hq/transprog/federal/fedfiles/res_publications/hip-2018.pdf)
- FHWA Highway Infrastructure Program Guidance
  - <https://www.fhwa.dot.gov/federalaid/projects.pdf#page=78>

## AVAILABILITY OF FUNDS

- Must **obligate by September 30, 2021**. Must **expend by September 30, 2026**.
- Funds are not subject to Obligation Limitation. As such, HIP obligations do not count against the Region's/State's balance of formula OA.
- Federal share according to 23 USC 120
  - 90% on interstate, 80% otherwise, subject to sliding scale
  - 100% for certain safety projects

## ELIGIBILITY

- Projects eligible according to 23 USC 133(b)(1)(A), similar to STP funds.
- PROJECTS MUST BE ON THE FEDERAL-AID SYSTEM. No projects on roads classified as a local road or minor collector unless:
  - on a Federal-aid highway system on January 1, 1991
  - for bridges (except new bridge at new location)
  - approved by the Secretary

## REQUIREMENTS

- Programming and expenditure of funds must be consistent with 23 U.S.C. 134 and 135
  - Projects must be consistent with the Long-Range Statewide Transportation Plan & Metropolitan Transportation Plans
  - HIP funds must be programmed for projects identified in the FTIP/FSTIP prior to obligation
- Disadvantaged Business Enterprises (DBE) rules apply

## MISCELLANEOUS

- HIP funds are NOT available to be exchanged for State Cash (as Streets and Highways Code 182.6 allows for RSTP funds)
- Follow Local Assistance Procedures Manual to process HIP funding requests.

## Q and A

- Will DLA be allowing Toll Credit to be used for the HIP?
  - Yes. The decision to use Toll Credit on a particular project, however, resides with the programming entity (MPO/RTPAs, Bridge/Safety Program coordinators). With the relatively short time frame for which these funds are available, toll credits will help use them faster.
- Can HIP be used for Safety/ATP projects off the Fed-Aid system?
  - **No.** The 2018 guidelines say the funds cannot be used on local roads and rural minor collectors (off fed-aid system). "Pursuant to section 133(c) of title 23, U.S.C., projects may not be undertaken on a road functionally classified as a local road or a rural minor collector unless the road was on a Federal-aid highway system on January 1, 1991, except; (1) for a bridge or tunnel project (other than the construction of a new bridge or tunnel at a new location); and (2) as approved by the Secretary. Further, 23 U.S.C. 133(g)(1) allowing a portion of Surface Transportation Block Grant funds to be obligated on roads functionally classified as minor collectors does not apply to these funds."
- Will we have to end up segregating the costs on projects for reporting purposes?
  - Costs will need to be segregated on engineer's estimates for dissimilar fund eligibilities as applicable. No special reporting requirements have identified. Separate fund line entries for the HIP funds will be required on the E-76s, Finance Letters, invoices, etc., to allow tracking of the funds usage.
- Can HIP funds be added to existing projects?
  - Yes. Eligibility and programming requirements apply.
- Are Ferry projects eligible under the Highway Infrastructure Program?
  - No. See eligibility requirements.